

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

**James E. GALEN**

Conf. No.: **Unassigned**

**DIVISIONAL**

Appln. No.: **of 09/453,313**

Group Art Unit in Parent: **1636**

Filed: **January 5, 2004**

Examiner in Parent: **Guzo, D.**

For: **PLASMID MAINTENANCE SYSTEM FOR ANTIGEN DELIVERY**

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 09/453,313. Applicant is not submitting duplicate copies of these references, but requests that they be listed on the face of any patent granted on the above application (see 37 C.F.R. §1.98(d)).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Drew Hissong  
Registration No. 44,765

**SUGHRUE MION, PLLC**  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: January 5, 2004

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				First Named Inventor	James E. GALEN
				Art Unit	1636
				Examiner Name	Guzo, D.
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 4,735,801		04/05/1998	Stocker
		US 4,764,370		08/16/1988	Fields et al
		US 5,459,072		10/17/1995	McKay et al
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		Summers, <i>The Biology of Plasmids</i> , pages 65-91 (1996)	
		Jensen et al, <i>Molecular Microbiology</i> , 17(2):205-210 (1995)	
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		Bravo et al, <i>Mol. Gen. Genet.</i> , 210:101-110 (1987)	
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		Stein et al, <i>Nature</i> , 355:748-750 (1992)	
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